

Change of Beneficiary Form

PO Box 219109 • Kansas City, MO 64121-9109 • 800-525-3713

Use this form to update beneficiaries **only** for the following retirement account types: **IRA, Roth IRA, SEP IRA or SAR SEP IRA.**

- All surviving beneficiaries will receive equal shares upon the death of account owner unless otherwise indicated. **Beneficiary allocations must equal 100%.**
- Please print or type in black ink.
- **Make sure you sign and date in Step 5 (page 4).**

Questions?

Call us at **800-525-3713**

In a Hurry?

Update online at
janushenderson.com

- or -

Fax form to **877-319-3852**

Step 1 - List the name that is on your account

Owner's First Name

Middle Initial

Last Name

Social Security Number

Date of Birth

Phone Number

Step 2 - List the accounts would you like to update

Select One:

- All applicable retirement accounts under owner's social security number (any Qualified Plans will need to be updated separately)
- Only update these accounts:

Specific accounts to be updated

Remember to sign on the last page

Step 3 - Name your Primary beneficiaries

If applicable, any beneficiary who passes away before the account owner will have their share divided proportionally among the surviving beneficiaries. If you have more than four primary beneficiaries please attach a separate sheet. **The sum of all primary beneficiary designations must equal 100%.**

Primary

Beneficiary #1

- Spouse
- Non-Spouse
- Trust
- Other Entity
- Minor*

Name **% of Account**

Date of Birth or UA Date of Trust Social Security or Taxpayer ID Number

*Custodian's full name if beneficiary is a **minor**. Appoint one person as a custodian. You cannot name yourself as custodian.

Primary

Beneficiary #2

- Non-Spouse
- Trust
- Other Entity
- Minor*

Name **% of Account**

Date of Birth or UA Date of Trust Social Security or Taxpayer ID Number

*Custodian's full name if beneficiary is a **minor**. Appoint one person as a custodian. You cannot name yourself as custodian.

Primary

Beneficiary #3

- Non-Spouse
- Trust
- Other Entity
- Minor*

Name **% of Account**

Date of Birth or UA Date of Trust Social Security or Taxpayer ID Number

*Custodian's full name if beneficiary is a **minor**. Appoint one person as a custodian. You cannot name yourself as custodian.

Primary

Beneficiary #4

- Non-Spouse
- Trust
- Other Entity
- Minor*

Name **% of Account**

Date of Birth or UA Date of Trust Social Security or Taxpayer ID Number

*Custodian's full name if beneficiary is a **minor**. Appoint one person as a custodian. You cannot name yourself as custodian.

Total (must equal 100%): _____

Remember to sign on the last page

Step 4 - (Optional) Name your Secondary (Contingent) beneficiaries

Secondary beneficiaries receive assets **ONLY** if no primary beneficiary survives you. Do **NOT** list any primary beneficiaries here. If you have more than four secondary beneficiaries please attach a separate sheet. **The sum of all secondary beneficiary designations must equal 100%.**

Secondary

Beneficiary #1

- Spouse
- Non-Spouse
- Trust
- Other Entity
- Minor*

Name % of Account

Date of Birth or UA Date of Trust Social Security or Taxpayer ID Number

*Custodian's full name if beneficiary is a **minor**. Appoint one person as a custodian. You cannot name yourself as custodian.

Secondary

Beneficiary #2

- Non-Spouse
- Trust
- Other Entity
- Minor*

Name % of Account

Date of Birth or UA Date of Trust Social Security or Taxpayer ID Number

*Custodian's full name if beneficiary is a **minor**. Appoint one person as a custodian. You cannot name yourself as custodian.

Secondary

Beneficiary #3

- Non-Spouse
- Trust
- Other Entity
- Minor*

Name % of Account

Date of Birth or UA Date of Trust Social Security or Taxpayer ID Number

*Custodian's full name if beneficiary is a **minor**. Appoint one person as a custodian. You cannot name yourself as custodian.

Secondary

Beneficiary #4

- Non-Spouse
- Trust
- Other Entity
- Minor*

Name % of Account

Date of Birth or UA Date of Trust Social Security or Taxpayer ID Number

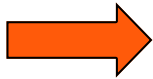
*Custodian's full name if beneficiary is a **minor**. Appoint one person as a custodian. You cannot name yourself as custodian.

Total (must equal 100%): _____

Remember to sign on the last page

Step 5 - Review and Sign

- Please review the form to make sure all information is complete and accurate and all totals equal 100%.
- Following the death of an account owner, Janus Services LLC does not have a duty to locate beneficiaries, determine marital status or take a position if the beneficiary designation is disputed. In such instances, Janus Henderson may delay the disbursement of assets until such a time that the parties resolve the dispute and sufficient documentation of beneficiary status has been provided. We recommend that you periodically review your beneficiary designations and promptly notify Janus Henderson of any necessary changes.
- I certify that (if I am married and reside in a community property or marital property state) my spouse has knowledge of and consents to the designation of a non-spouse beneficiary for this account. (Please consult with a legal advisor regarding your beneficiary designation. Neither the custodian nor the plan sponsor will be liable for any consequences resulting from failure to accurately represent spousal consent).
- This will become part of the terms, conditions and representations of the shareholder's application.



X

Signature of Owner

Date

