

# Automatic Investment Program Enrollment Form

PO Box 219109 • Kansas City, MO 64121-9109 • 800-525-3713

**Janus Henderson**  
INVESTORS

Use this form to establish an Automatic Investment Program (AIP) which allows you to make automatic investments into your Janus Henderson account directly from the bank you designate in Section 2.

- Print in capital letters using black ink.
- Facsimile signatures shall be considered original in all aspects.
- Please provide a separate form for each account you are adding an AIP to.
- Questions? Call 800-525-3713.

### In a Hurry?

visit [www.janushenderson.com](http://www.janushenderson.com)  
or  
fax form to 877-319-3852

## 1. Provide your Janus Henderson account information.

### Primary Owner/Trustee

Owner's First Name                      Middle Initial                      Last Name                      Social Security Number

Account Number    Phone Number

### Joint Owner/Trustee/Custodian (if applicable)

Joint Owner's First Name                      Middle Initial                      Last Name                      Social Security Number

### Trust Account information (if applicable)

Trust Name    Tax Identification Number

## 2. Provide your bank information.

Name on Bank Account    Other Name on Bank Account (if applicable)

ABA Routing Number (first nine digits on bottom left of your check)                      Account Number (on bottom right of check)

This is a:     Checking Account     Savings Account



### Please attach a voided item.

Need an alternative to a voided item? Please contact a Janus Henderson representative at 800-525-3713.

Signature(s) of bank account owner(s), if different from all Janus Henderson account owner(s), are required to add Purchase options.  
To add Redemption options, if all bank owner(s) are different from the Janus Henderson account owner(s), fill out the Bank Options Form.

### 3. How would you like your Automatic Investment established?

Once established, your AIP may generally be modified or cancelled at any time by visiting [janushenderson.com](http://janushenderson.com) or by calling a Janus Henderson representative.

				Frequency*
				<input type="checkbox"/> Monthly
				<input type="checkbox"/> Every Other Month
				<input type="checkbox"/> Quarterly
_____	_____	_____	_____	
Fund Name	Investment Amount* (\$50 min.)	Starting Month	Investment Date*	
				Frequency*
				<input type="checkbox"/> Monthly
				<input type="checkbox"/> Every Other Month
				<input type="checkbox"/> Quarterly
_____	_____	_____	_____	
Fund Name	Investment Amount* (\$50 min.)	Starting Month	Investment Date*	

\*If investment amount, frequency or investment date are not specified, investments of \$50 will be made on the 20th of each month.

IRA contributions made through AIP will be credited as contributions for the year in which the shares are purchased. If you want to make prior year contributions, please indicate which month(s) should be coded as prior year contribution(s):

Jan  Feb  Mar  Apr (must be on or before the 15th)

For SEP-IRA accounts, please indicate type of contribution:  Employer  Employee

**Please send me information about Janus Henderson's Payroll Deduction Program.**

### 4. Please read and sign below.

By signing below, I authorize the Fund and its agents to act upon my instructions believed to be genuine and in accordance with procedures described in the prospectus for this account. I agree that neither the Funds nor the transfer agent will be liable for any loss, cost or expense for acting on such instructions, provided the Fund employs reasonable procedures to confirm the instructions communicated are genuine. I authorize debits from this bank account for any approved transaction associated with my Janus Henderson account. I agree that Janus Henderson shall be fully protected in honoring any such transactions. I also agree that Janus Henderson may make additional attempts to debit my account if the initial attempt fails and I will be liable for any associated costs. This account option will become part of the account application and the terms, representations and conditions thereof.

**X**

\_\_\_\_\_  
Signature of Owner/Trustee/Custodian

\_\_\_\_\_  
Date

**X**

\_\_\_\_\_  
Signature of Joint Owner/Trustee (if applicable)

\_\_\_\_\_  
Date



# Payroll Deduction Form

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You may invest in your existing Janus Henderson account(s) with a deduction taken directly from your paycheck. Use this form to begin a new Payroll Deduction plan or to make changes to an existing Payroll Deduction plan.

- Complete this form, attach a copy of your most recent Janus Henderson statement to ensure proper credit to your account, and return it to your Payroll Office.
- If you are a federal government employee or making a deposit from a social security check, you must fill out and return Form 1199A.
- Please print or type in black ink.
- Questions? Call 800-525-3713.

**Important: For Employee's Payroll Office – Do Not Return to Janus Henderson**

## 1. What name is on your account?

First Name	Middle Initial	Last Name
Street Number	Street Name	Apartment Number
City	State	Zip Code
Social Security Number (required)	Preferred Phone Number	

## 2. What is your Janus Henderson account number?

Account Number

*(Do not include the two-digit fund number preceding the account number.)*

**Check here if this is a retirement account.**

Contributions made through payroll deduction will be applied as contributions for the year in which the shares are purchased.

### 3. Which fund(s) would you like to contribute to?

Please indicate **existing** fund(s) in which you wish to make a Payroll Deduction plan investment.

#### U.S. Equity

Contrarian Fund (61) \$ \_\_\_\_\_  
 Enterprise Fund\* (50) \$ \_\_\_\_\_  
 Forty Fund (46) \$ \_\_\_\_\_  
 Growth & Income Fund (40) \$ \_\_\_\_\_  
 Large Cap Value Fund (35) \$ \_\_\_\_\_  
 Mid Cap Value Fund (67) \$ \_\_\_\_\_  
 Research Fund (48) \$ \_\_\_\_\_  
 Small Cap Value Fund (65) \$ \_\_\_\_\_  
 Small - Mid Cap Value Fund (85) \$ \_\_\_\_\_  
 Triton Fund\* (74) \$ \_\_\_\_\_  
 U.S. Growth Opportunities Fund (72) \$ \_\_\_\_\_  
 U.S. Managed Volatility Fund (26) \$ \_\_\_\_\_  
 Venture Fund\* (45) \$ \_\_\_\_\_

#### Global/International Equity

Asia Equity Fund (83) \$ \_\_\_\_\_  
 Dividend & Income Builder Fund (33) \$ \_\_\_\_\_  
 Emerging Markets Fund (39) \$ \_\_\_\_\_  
 Emerging Markets \$ \_\_\_\_\_  
 Managed Volatility Fund (32) \$ \_\_\_\_\_  
 European Focus Fund (47) \$ \_\_\_\_\_  
 Global Equity Income Fund (53) \$ \_\_\_\_\_  
 Global Income \$ \_\_\_\_\_  
 Managed Volatility Fund (84) \$ \_\_\_\_\_  
 Global Life Sciences Fund (59) \$ \_\_\_\_\_  
 Global Real Estate Fund (31) \$ \_\_\_\_\_  
 Global Research Fund (41) \$ \_\_\_\_\_  
 Global Select Fund (62) \$ \_\_\_\_\_  
 Global Technology Fund (60) \$ \_\_\_\_\_  
 Global Value Fund (64) \$ \_\_\_\_\_  
 International \$ \_\_\_\_\_  
 Managed Volatility Fund (30) \$ \_\_\_\_\_  
 International Opportunities Fund (58) \$ \_\_\_\_\_  
 International Small Cap Fund (63) \$ \_\_\_\_\_  
 International Value Fund (88) \$ \_\_\_\_\_  
 Overseas Fund (54) \$ \_\_\_\_\_

#### Fixed Income

Absolute Return \$ \_\_\_\_\_  
 Income Opportunities Fund (90) \$ \_\_\_\_\_  
 Developed World Bond Fund (71) \$ \_\_\_\_\_  
 Flexible Bond Fund (49) \$ \_\_\_\_\_  
 Global Bond Fund (80) \$ \_\_\_\_\_  
 High-Yield Fund (57) \$ \_\_\_\_\_  
 Multi-Sector Income Fund (89) \$ \_\_\_\_\_  
 Short-Term Bond Fund (52) \$ \_\_\_\_\_

#### Asset Allocation

Adaptive Global Allocation Fund (44) \$ \_\_\_\_\_  
 Balanced Fund (51) \$ \_\_\_\_\_  
 Global Allocation Fund - Conservative (78) \$ \_\_\_\_\_  
 Global Allocation Fund - Growth (76) \$ \_\_\_\_\_  
 Global Allocation Fund - Moderate (77) \$ \_\_\_\_\_  
 Value Plus Income Fund (36) \$ \_\_\_\_\_

#### Alternatives

Diversified Alternatives Fund (87) \$ \_\_\_\_\_

#### Money Market

Government Money Market Fund (38) \$ \_\_\_\_\_  
 Money Market Fund (37)\*\* \$ \_\_\_\_\_

\*Closed to new investors.

\*\*Positions in the Money Market Fund other than those beneficially owned by natural persons may no longer be opened. If this fund is selected to open an account that is not beneficially owned by a natural person, the purchase will be deposited into the Government Money Market Fund.

**4. Before you give this completed Payroll Deduction Form to your payroll office, please sign here.**

I hereby authorize my employer to make periodic investments to my Janus Henderson account(s) in the amount specified for each pay period:

**Total Investment Amount** (per pay period) \$ \_\_\_\_\_

\_\_\_\_\_  
Signature of Owner, Trustee or Custodian

\_\_\_\_\_  
Date

**To be completed by Employee's Payroll office**

Please retain this form to document the employee's authorization for this Payroll Deduction plan. For ACH purposes, code this as a checking account. The transit routing number is 10200007-6. For State Street Bank and Trust Company Retirement accounts, the ACH number is 15090 + the two-digit fund number + 0 + the employee's Janus Henderson account number. Example: If the employee has the Contrarian Fund, Retirement account #12345, the 17 digit number should be entered as 15090610000012345.

For all other accounts, the ACH account number is 14890 + the two-digit fund number + 0 + the employee's Janus Henderson account number. Example: If the employee has the Contrarian Fund, Non-Retirement account #12345, the 17 digit number should be entered as 14890610000012345.

**Retirement Accounts:**

\_\_\_\_\_  
ACH Account Number Fund Number Janus Henderson Account Number

\_\_\_\_\_  
ACH Account Number Fund Number Janus Henderson Account Number

\_\_\_\_\_  
ACH Account Number Fund Number Janus Henderson Account Number

**Non-Retirement Accounts:**

\_\_\_\_\_  
ACH Account Number Fund Number Janus Henderson Account Number

\_\_\_\_\_  
ACH Account Number Fund Number Janus Henderson Account Number

\_\_\_\_\_  
ACH Account Number Fund Number Janus Henderson Account Number

## Investing Just Got Easier

You can now set up an automatic investment plan for as little as \$50 per month.

It may be the most valuable \$50 you'll spend this month. An automatic investment regularly transfers money from your bank account, directly to your Janus Henderson account on a schedule that works for you. Staying committed to your investment just got easier.

► **It's Convenient**

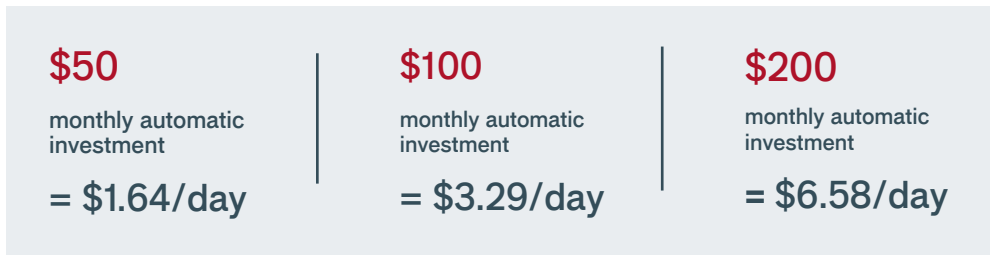
There's no need to mail a check or even go online once the plan is set up.

► **Avoid Annual Fee**

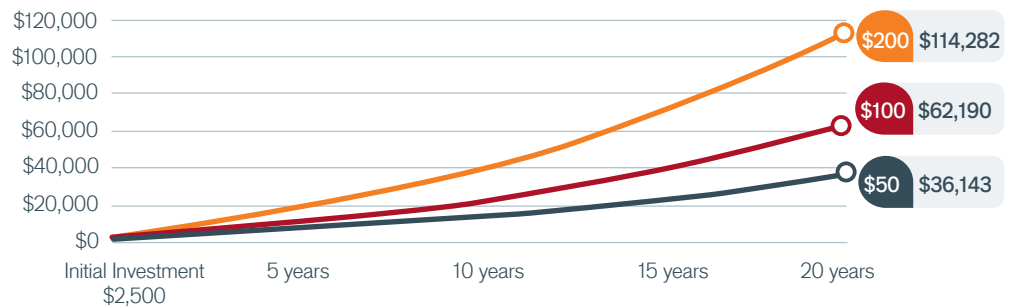
Automatic investing can help build your share balance and potentially avoid annual fees for not maintaining the required minimum balance.

► **Stay on Track with Your Budget**

By ensuring steady contributions, automatic investing helps you stay committed to your long-term plan regardless of emotions created by financial news or short-term market volatility.



### The Power of Compounding



The rates of return are hypothetical and do not represent the returns of any particular investment. Assumes 7% annual growth rate and reinvestment of all income. It does not account for taxes.

➔ **3 easy ways to enroll in an automatic investment plan today!**

- Complete and mail the enclosed form
- Visit [janushenderson.com/autostart](http://janushenderson.com/autostart)
- Call a Janus Henderson representative at 800.525.3713

Investing involves market risk. Investment return and fund share value will fluctuate and it is possible to lose money by investing. A program of regular investing does not assure a profit or protect against depreciation in a declining market.

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