

IRA Distribution Form

PO Box 219109 • Kansas City, MO 64121-9109 • 800-525-1093

Use this form for a one-time distribution from your Janus Henderson IRA.

- Print in capital letters using black ink.
- Please complete all sections of the form unless otherwise noted.
- Questions? Call 800-525-1093.
- IRS Announcements 2014-15 and 2014-32 limit rollovers from an IRA to another (or the same) IRA to *one in any 12-month period*, regardless of the number of IRAs you own. This “One-Rollover-Per-Year” rule does not apply to IRA transfers, conversions, recharacterizations, or direct rollovers to or from a qualified plan. Please seek professional tax advice regarding questions about any IRA distributions.

1. What name is on your account?

Name

Address

City

State

Zip Code

Daytime Phone Number

Evening Phone Number

Social Security Number (required)

Date of Birth (required)

2. What amount would you like distributed?

Fund Name or Number

Account Number

% or \$ Amount

Fund Name or Number

Account Number

% or \$ Amount

Fund Name or Number

Account Number

% or \$ Amount

3. What type of distribution is this? (check one)

- Premature distribution** - I am under 59½.
- Disability**
- Normal distribution** - I am 59½ or older.
- Return of excess contribution for 20_____ (year) including applicable earnings, if any.**
- Death**
- Direct Rollover** - Used only to move an IRA into a Qualified Retirement Plan. Do not select if moving an IRA or Roth IRA into another IRA or Roth IRA.

Notes:

- Please call 800-525-1093 if you have any questions.
- A one-time Required Minimum Distribution (RMD) is generally reported as a normal distribution.

4. Do you want income tax withheld? (check one)

If this section is not completed, IRS regulations require federal income tax to be withheld at a rate of 10% from your distribution. Any applicable mandatory state income tax will also be withheld at your state's required minimum rate.

Form W-4P/OMB NO. 1545-0074

Important Notice: Any distribution from your IRA is subject to federal income tax withholding unless you elect not to have withholding apply. Since the entire distribution may be included in your income that is subject to federal income tax, the withholding will apply to the entire distribution. Qualified distributions from a Roth IRA are nontaxable and therefore not subject to withholding.

- I do not want any federal income tax withheld on my distribution. I understand that I will be responsible for paying the income tax (if any) which may be due as a result of my distribution. If required by my state, mandatory withholding for state income tax will be taken at my state's required minimum rate.
- Please withhold federal income tax on my distribution at the rate of _____% (10% is the minimum withholding rate). I understand that Janus Henderson will remit any income tax which has been withheld to the Internal Revenue Service on my behalf. If required by my state, mandatory withholding for state income tax will be taken at my state's required minimum rate.

Notes:

- If no box is selected, federal income tax will be taken at a default rate of 10% along with any mandatory state income tax for Traditional, SEP & SAR-SEP IRAs; Roth IRAs will default to no withholding.
- Withholding is not an option on return of excess requests.
- Any amounts withheld cannot be reimbursed by Janus Henderson.
- If you elect not to have withholding apply to your distribution, or if you do not have enough federal income tax withheld from your distribution, you may be responsible for payment of estimated tax. You may incur penalties under the estimated tax rules if your withholding and estimated tax payments are not sufficient. Even if you elect not to have federal income tax withheld, you are liable for payment of federal income tax on the taxable portion of your distribution. Whether or not you elect to have withholding apply, you are responsible for any federal income taxes, state and local taxes, and any penalties that may apply to your distribution.
- This distribution will be reported to the IRS and the state of your residence, if applicable, as taxable income. The address on the account registration at the time of the distribution will determine the state of residence for state withholding purposes.

5. Where would you like your distribution sent? (complete A or B)

A. If you indicated in Section 3 that this distribution is a direct rollover, please complete the option below.

- Please make the check payable to the custodian named below. **(Signature guarantee required. See Section 8.)**

Name of Financial Institution or Custodian

Address

City

State

Zip Code

Name on Account

Phone Number

Account Number

Type of Plan (If assets are going into a qualified retirement plan, excluding an IRA or Roth IRA, then indicate Direct Rollover in Section 3.)

B. The assets should be distributed and paid as instructed below.

- Please send the distribution to the address of record payable as registered.
- Please send the distribution to the existing bank of record. **(Signature guarantee may be required. See Section 8.)**
- Please send the distribution to a new bank provided in Section 6. **(Signature guarantee required. See Section 8.)**
- Please deposit the proceeds into my Janus Henderson non-retirement account. **(Signature guarantee may be required. See Section 8.)**

Fund Name or Number Account Number or "New" (Janus Henderson Account Application enclosed.) % or \$ Amount

- Please send the distribution to the following address. **(Signature guarantee required. See Section 8.)**

Name

Address

City State Zip Code

6. Your bank information

Only required if you elected to have your distribution sent to a new bank in section 5B.

Please attach a voided, unsigned check or savings deposit slip and complete this section to authorize credits and debits to your bank account. By signing in Section 7 and completing the following information, I authorize credits and debits to the bank account referenced in conjunction with the account option(s) selected. I also agree that Janus Henderson may make additional attempts to credit or debit my bank account if the initial attempt fails and I will be liable for any associated costs. All account options elected shall become part of the account application and the terms, representations and conditions thereof.

This is a: Checking Account Savings Account



Please attach a preprinted voided item.

Need an alternative to a voided item? Please contact a Janus Henderson representative at 800-525-1093.

Signature(s) of bank account owner(s), if different from all Janus Henderson account owner(s), are required for this option. Additionally, the Janus Henderson account owner must have their signature guaranteed in Section 8.

7. Before you send your completed IRA Distribution Form, please read and sign below.

By signing below, I agree:

That the information provided is accurate. Janus Henderson will not be held liable for any failure to distribute. Due to the important tax consequences associated with retirement plan distributions, I have been advised to consult with a tax professional.

X

Signature of Account Owner

Date

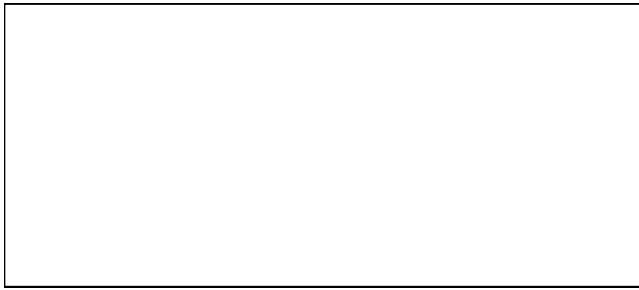
8. Do you need a signature guarantee?

A signature guarantee is required if one or more of the following applies to your distribution.

Your distribution is:

- Over \$250,000.
- Mailed to a name or address other than the name or address of record.
- Deposited into a bank account other than that of record.
- Paid to an account that is different than the name on the Janus Henderson IRA. Please call 800-525-1093 for specific instructions.

**SIGNATURE GUARANTEE STAMP
(Including Medallion Guarantees)**



PLACE GUARANTEE STAMP AND AUTHORIZED SIGNATURE INSIDE OF THE SPACE PROVIDED ABOVE. DO NOT OVERLAP ANY PART OF THE STAMP AND/OR SIGNATURE WITH OTHER TEXT IN THE APPLICATION.

A signature guarantee assures a signature is genuine and protects you from unauthorized requests on your account. Financial institutions that may guarantee signatures include banks, savings and loans, trust companies, credit unions, broker/dealers and member firms of a national securities exchange. Contact the financial institution you intend to obtain a signature guarantee from for further information. **A notary public cannot provide a signature guarantee.**