

Janus Henderson Transfer/Share Conversion Form

PO Box 219109 • Kansas City, MO 64121-9109 • 800-525-1093



Use this form to transfer your retirement or non-retirement Janus Henderson fund(s) held at another institution to Class D Shares held directly at Janus Henderson.

- **This transaction will be processed as a non-taxable conversion, shares will not be liquidated.**
- If you are opening a new account, please enclose a Janus Henderson Application.
- Print in capital letters using black ink.
- Questions? Call 800-525-1093.

1. Please provide the following information.

Name of Account Owner

Street Number

Street Name

Apartment Number

City

State

Zip Code

Preferred Phone Number

Additional Phone Number

Social Security Number

or

Taxpayer ID Number

2. Tell us about the account you are transferring Janus Henderson fund(s) from.

Please complete the following information and attach a copy of a recent account statement from your resigning institution.

What name is on your existing account?

Name of Account Owner

Account Number for the Assets you are Transferring to Janus Henderson

Where are the assets currently invested?

Name of the Firm that Currently Holds your Assets

Attention (Person or Department)

Address

City

State

Zip Code

Phone Number

Type of Account Transfer / Share Conversion (check one):

Retirement Account

- Traditional IRA to Traditional IRA Roth IRA to Roth IRA SEP-IRA to SEP-IRA
- (SAR) SEP-IRA to (SAR) SEP-IRA Profit Sharing Plan to Profit Sharing Plan
- Money Purchase Pension Plan to Money Purchase Pension Plan Other to Other

Non-retirement Account

- Individual to Individual Joint to Joint UGMA/UTMA to UGMA/UTMA
- Corporate to Corporate Trust to Trust Other to Other

Please transfer the following fund(s) to Janus Henderson. All shares Portion of shares (specify below)

Note: If neither box is selected, then all shares will be transferred.

Full Name of Transferring Fund

%, # of Shares or \$ Amount

Full Name of Transferring Fund

%, # of Shares or \$ Amount

Full Name of Transferring Fund

%, # of Shares or \$ Amount

3. How would you like your transferred assets invested at Janus Henderson? (check one)

- Open a new account, and transfer/convert my shares to Janus Henderson Class D Shares as indicated on the enclosed application.
- Transfer/Convert my shares to Janus Henderson Class D Shares, and invest them in my existing Janus Henderson account.

Fund Name

Existing Account Number or "New"

%, # of Shares or \$ Amount

Fund Name

Existing Account Number or "New"

%, # of Shares or \$ Amount

Fund Name

Existing Account Number or "New"

%, # of Shares or \$ Amount

Note: The account type that you are transferring to must be the same as the account type you are transferring from. This is a non-taxable conversion, shares will not be liquidated.

4. Please read and sign below.

I understand that this transfer/share conversion is to be executed as an institution-to-institution transfer so as not to put me in actual or constructive receipt of all or any part of the transferred assets. I have read the prospectus for the Fund/Share Class of which I wish to invest.

Important Note: A signature guarantee may be required, please contact your current firm for their requirements.

X

Signature of Owner, Trustee or Custodian

Date

X

Signature of Joint Owner or Co-Trustee (if applicable)

Date

Printed Name of Owner, Trustee or Custodian

Printed Name of Joint Owner or Co-Trustee (if applicable)

**SIGNATURE GUARANTEE STAMP
(Including Medallion Guarantees)**

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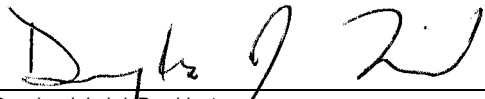
PLACE GUARANTEE STAMP AND AUTHORIZED SIGNATURE INSIDE OF THE SPACE PROVIDED ABOVE. DO NOT OVERLAP ANY PART OF THE STAMP AND/OR SIGNATURE WITH OTHER TEXT IN THE APPLICATION.

A signature guarantee assures a signature is genuine and protects you from unauthorized requests on your account. Financial institutions that may guarantee signatures include banks, savings and loans, trust companies, credit unions, broker/dealers and member firms of a national securities exchange. Contact the financial institution you intend to obtain a signature guarantee from for further information. **A notary public cannot provide a signature guarantee.**

**FOR OFFICE USE ONLY - DO NOT WRITE BELOW THIS LINE - FOR FIDUCIARY ACCOUNTS ONLY
TO BE COMPLETED BY JANUS HENDERSON**

To Resigning Trustee

State Street Bank and Trust Company accepts appointment as Successor Custodian. We have established an account as described for the named participant and will accept the transfer of plan assets on an institution-to-institution basis.



Douglas J. Laird, President
State Street Bank and Trust Company Authorized Signature

COPIES OF THIS DOCUMENT SHALL BE TREATED AS ORIGINAL FOR ALL PURPOSES. VOID IF NOT SIGNED BY ACCOUNT OWNER.